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Notice of Appeal

Docket Number
12131/1

Application Number
08/976,159

Filing Date
November 21, 1997

Examiner
Romain Jeanty

Art Unit
2163

Invention Title
**ENHANCED MATCHING APPARATUS AND METHOD
FOR POST-TRADE PROCESSING AND SETTLEMENT
OF SECURITIES TRANSACTIONS**

Inventor(s)
BRANDER et al.

Address to:
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Washington, D.C. 20231
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I hereby certify that this correspondence is being deposited with
the United States Postal Service as first class mail in an envelope
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Date: 29 January 2002

Signature:
Michelle M. Carniaux
(Reg. No. 36,098)

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Sir:

Applicants hereby appeal to the Board of Patent Appeals and Interference from the
decision of the Examiner made in the Final Office Action dated October 29, 2001 finally
rejecting claims 1-9 and 12-36.

The Commissioner is hereby authorized to charge payment of the 37 C.F.R. § 1.191
Notice of Appeal fee of **\$320.00** to deposit account number **11-0600**.

The Commissioner is hereby authorized to charge payment of the following fees
associated with this communication or credit any overpayment to number **11-0600**.

- A. Any additional filing fees required under 37 C.F.R. § 1.16;
 - B. Any additional patent application processing fees under 37 C.F.R. § 1.17;
 - C. Any additional patent issue fees under 37 C.F.R. § 1.18;
 - D. Any additional document supply fees under 37 C.F.R. § 1.19;
 - E. Any additional post-patent processing fees under 37 C.F.R. § 1.20; or
 - F. Any additional miscellaneous fees under 37 C.F.R. § 1.21.
4. A duplicate of this letter is enclosed.

Dated: 29 Jan 2002

By:
Michelle M. Carniaux (Reg. No. 36,098)

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